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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Jacob Fraden

Serial No.: Filed:

10/612,112 July 2, 2003

Confirmation No.: **Group Art Unit:** 

3389 2859

Examiner:

Verbitsky, Gail K.

Title:

PROBE FOR A BODY CAVITY

Atty Docket:

AMCN-06

Cincinnati, Ohio 45202

July 25, 2005

Mail Stop AF **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

#### AFTER FINAL AMENDMENT

Sir:

In response to the final Office Action mailed on May 24, 2005, please

amend the above-identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks/Arguments begin on page 9 of this paper.

Page 1 of 12

08/02/2005 DBROWN

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01 FC:1201 02 FC:1202

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PAGE 5/16 \* RCVD AT 1/12/1005 11:37:12 PM (Eastern DayAght Time) \* SVRJUSPTO-EFXRF-6/12 \* DRIS:2/38300 \* CSID:513 241 6234 \* DURATION (min-ss):00-05/2

Adjustment Date: 11/08/2005 SDIRETA1 08/02/2005 DBROWN 00000004 233000

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01 FC:1201 02 FC:1202

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25.00 DA

11/08/2005 SDIRETA1 00000008 88 ស្តន 28

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SEP 2 0 2005

Member 20, 2005.

Minkman, Reg. No. 40,632

PATENT

Applicant:

Jacob Fraden

Serial No.:

10/612,112

Filed:

July 2, 2003

Examiner:

Verbitsky, Gail Kaplan

Art Unit:

2859 Confirmation No.: 3389

Title:

PROBE FOR A BODY CAVITY

Atty Docket:

AMCN-06

Cincinnati, Ohio 45202

September 20, 2005

Mail Stop 16 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandrià, VA 22313-1450

Sir:

## REQUEST FOR REFUND OF EXTRA CLAIMS

An After Final Amendment was filed in the above-identified case on July 25, 2005. The United States Patent and Trademark Office was instructed to charge Deposit Account No. 23-3000 in the amount of \$25:00 for one additional claim and \$100.00 for one additional independent claim for a small entity.

Applicant's counsel has been notified that Deposit Account No.

23-3000 has been charged \$50.00 for the one additional claim and \$200.00 for

the one additional independent claim for a large entity. Applicant submits that the additional charge of \$125.00 is in error and request a credit of this amount to Deposit Account No. 23-3000.

In particular, Applicant encloses a copy of the Utility Patent

Application Transmittal showing that the Applicant has claimed small entity status.

Applicant also encloses a copy of the Official Filing Receipt showing same.

Applicant is entitled to and respectfully requests a refund in the amount of \$125.00 to be credited to Deposit Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

David H. Brinkman Reg. No. 40,532

2700 Carew Tower 441 Vine Street Cincinnati, Ohio 45202-2917 (513) 241-2324 - Voice (513) 421-7269 - Facsimile

Signature

513 241 6234

P.06/08

SEP 2 0 2005

PTO/S8/06 (06-03)

Approved for use through 07/31/2003, OMB 0651-0032
U.S. Palent and Trademark Office. U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a write CARS control number-Attorney Docket No. UTILITY Fraden PATENT APPLICATION First inventor Probe for a lody Cavity TRANSMITTAL Title EE089978308US (Only for new nonprovisional applications under 37 CFR 1.83(b)) Express Mall Label No. ell Stop Pa APPLICATION ELEMENTS ADDRESS TO: P.O. Box 1430 Alexandria VA 22313-1450 See MPEP chapter 600 concerning utility patent application contents. CD-ROM or CD-R in duplicate, large table or 1. Fee Transmittal Form (e.g., PTO/SB/17) Computer Program (Appendit)

Hudeotide end/or Amino Acid Sequence Submission (Submit an original and a duplicate for fee processing) Applicant claims small entity status. 2 🗹 See 37 CFR 1.27. (If applicable, all necessary) Computer Reader Form (CRF) 3. Specification [Total Pages (preferred arrangement set forth below) Descriptive title of the invention Cross Reference to Reistad Applications Specification Sequence Listing on: Statement Regarding Fed sponsored R & D Reference to sequence listing, a table. L CD-ROM or CD-R (2 copies); or or a computer program listing appendix ii. Peper - Beckground of the Invention - Brief Summary of the Invention Studements verifying identity of above copies - Brief Description of the Drawings (# fled) ACCOMPANYING APPLICATION PARTS - Detailed Description - Claim(a) - Abstract of the Disclosure Assignment Papers (cover sheet & document(s))
37 CFR 3.73(b) Statement Power of Power of Attorney 10! 4. Drawing(a) (35 U.S.C. 113) [Total Sheets (when them is an assignee) Attente English Translation Document (If applicable) [Total Sheets 5. Oath or Declaration Copi s of IDS a. Newly executed (original or copy) Information Disclosure Statement (IDS)/PTO-1449 Citations Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) Proliminary Amendment Return Receipt Postcard (MPEP 503) (Should be specifically itemized) i DELETION OF INVENTOR(S) Certified Copy of Priority Document(s) 15. (If foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122 Signed statement attached deleting invents name in the prior application, see 37 OFR 16. 1.61(d)(2) and 1.33(b). (b)(2)(B)(I). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the regulatic information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: of prior epitication No.: ...... Continuation Continuation-in-part (CIP) ☐ Divisional Art Unit For CONTROLATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS OR Correspondence address below Oustomer Number: Advanced Monitors **Name** acob traden Ferris Address Zip Cods State Diego Fex . 858-677-318 Country Telephone 858-617-3880 Name (Print/Type) Registration No. (Attorney/Agent) Fradan

This collection of information is required by f37 CFR 1.53(b). The information is required to obtain or retain a banefit by the public which is to file (and by the USPTO to process) an explication. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestors for reducing this burden, should be sent to the information Officer, U.S. Petent and Tredemark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, V.A. 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, V.A. 22313-1450.

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22313-4130 مشتو

FILING OR 371 (c) DATE APPL NO.

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ATTY, DOCKET NO

TOT CLMS IND CLMB DRAWINGS

07/02/2003

Jacob Fraden, Advanced Monitors Corp.

375

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10/812.112

2859

**CONFIRMATION NO. 3389** 

UPDATED FILING RECEIPT

OC00000012030047

Date Malled: 03/05/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jacob Fraden, La Jolla, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 01/24/2004

Projected Publication Date: 01/08/2005

Non-Publication Request: No

Early Publication Request: No

\* SMALL ENTITY \*\*

Title

Probe for a body cavity

**Preliminary Class** 

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Date: 9/20/2005

Time: 17:22:14

## PALM INTRANET

## **Application Number Information**

OTACE

Desc.

**EIDS** 

Application Number: 10/612112

**Assignments** 

Filing or 371(c) Date: 07/02/2003

Effective Date: 07/02/2003

Application Received: 07/03/2003

Pat. Num./Pub. Num: /20050002437

Issue Date: 00/00/0000

Date of Abandonment: 00/00/0000

Attorney Docket Number:

Status: 83 /ADVISORY ACTION MAILED Confirmation Number: 3389

Oral Hearing: NO Title of Invention: PROBE FOR A BODY CAVITY

US PATENT & THADSMARK

Examiner Number: 76680 / VERBITSKY, GAIL

Group Art Unit: 2859

Class/Subclass: 374/208.000

Lost Case: NO

Interference Number:

Unmatched Petition: NO

L&R Code: Secrecy Code:1

Third Level Review: NO

Secrecy Order: NO

IFW IMAGE

Status Date: 08/11/2005

Waiting for Response

Amndt, Not Entrd

Bar Code PALM Location Location Date Charge to Loc Charge to Name Employee Name Location Appln Contents **Petition Info** Atty/Agent Info Continuity Data Foreign Data Inv Info Search Another: Application# Search Search or Patent# PCT/ Search or PG PUBS # Search Attorney Docket # Search Bar Code #; Search

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### **WOOD, HERRON & EVANS, L.L.P.**

2700 Carew Tower 441 Vine Street Cincinnati, Ohio 45202-2917 Telephone: 513-241-2324 Facsimile: 513-241-8234 EMAIL: info@whepatent.com

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> EDMUND P. WOOD 1923-1968 TRUMAN A. HERRON 1935-1976 EDWARD B. EVANS 1938-1971

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The Honorable Commissioner

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Art Unit 2859

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(571) 273-8300

Phone: (513) 241-2324

FROM: David H. Brinkman

Date:

September 20, 2005

Fax:

(513) 421-7269

Pages (including cover page): 8

Re:

U.S. Serial No. 10/612,112 PROBE FOR A BODY CAVITY Attorney Docket No. AMCN-06

### **ATTACHMENTS/COMMENTS:**

OFFICIAL

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Request for Refund of Extra Claims (2 pages) (In duplicate) Copy of Utility Patent Application Transmittal (1 page) Copy of Official Filing Receipt (2 pages)

#### CERTIFICATE OF FACSIMILE TRANSMISSION

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Dayed H. Brighton
PAGE 1/8 \* RCVD AT 9/20/2005 2:31:01 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-8/26 \* DNIS:2738300 \* CSID:513 241 8234 \* DURATION (mm-4s):02-48 Dayed H. Brigkenan

		<u> </u>	Extension (months) one month two months three months four months	Fee for other than small entity \$ 120.00 \$ 450.00 \$1,020.00 \$1,590.00	Fee for small entity 6 80.00 \$225.00 \$510.00 \$795.00	
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	An extension for months has already been secured and the fee paid thereof of \$ is deducted from the total fee due for the total months of extension now requested. Extension fee due with this request \$					
OR						
(b)	XX	XX Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.				
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